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## **Dams: Implications of Widespread Anthropogenic Flooding for Primate Populations**

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## 1 Introduction

2 As part of a volume dedicated to non-human primates that inhabit flooded ecosystems, it is  
3 important to acknowledge that some species live in habitats that were only recently inundated at  
4 the hands of humans. While the majority of non-human primates (hereafter primates) discussed  
5 in this book have had time to adapt in various ways to flooded environments, the primates  
6 discussed in this chapter have been forced to make significant changes virtually overnight as a  
7 result of the construction of a dam and inundation of an associated reservoir.

8 Over 45,000 large dams ( $\geq 15\text{m}$  tall) have been built worldwide (Nilsson *et al.*, 2005; World  
9 Commission on Dams [WCD], 2000). These dams and other man-made diversions affect the  
10 flow of approximately 60% of the world's 227 largest rivers (Worldwide Fund for Nature  
11 [WWF], 2004). All of the dams reviewed in this chapter [Table 1] are considered large dams  
12 and two are among the largest in the world, Brazil's Tucuruí Dam and Venezuela's Guri Dam  
13 (WCD, 2000).

14 People build dams for many reasons including irrigation, production of hydroelectricity, flood  
15 control, ensuring water supplies, and improving river navigation ability (Liao, Barghava & Das,  
16 1988; WWF, 2004). Most large dams are built for the purpose of generating electricity; indeed  
17 in 2004, WWF reported that almost 20% of the world's electricity was being provided by dams.

18 Hydroelectric dams have long been touted as a clean alternative for energy production, when  
19 compared to fossil fuels (Moore, Dore & Gyawali, 2010). However, recent evidence suggests  
20 that, for many years, hydroelectric dams can produce nearly as much, just as much, and  
21 sometimes even more greenhouse gas emissions than fossil fuel methods of energy production  
22 (Abril *et al.*, 2005; Fearnside 2002, 2009; Fearnside & Pueyo, 2012; Kemenes *et al.*, 2007, 2011).  
23 Today, experts debate whether dams are indeed clean energy producers and, therefore, whether  
24 the benefits of damming truly outweigh the costs (Fearnside, 2011; Poff *et al.*, 2003).

25 Though dam construction peaked in the 1950's through the 1980's, they slowed in the 1990's  
26 as studies of their impact became available. Even with this information available, 1,600 new  
27 large dams were under construction in 2004 (WWF, 2004) with many more constructed since: a  
28 dramatic spike in dam construction is anticipated over the next ten to twenty years (Tundisi *et al.*,  
29 2014), and a high proportion of these are in primate range countries. Finer and Jenkins  
30 (2012), for example, report on plans for 151 new dams in the Amazon basin – 60% would impact  
31 river connectivity and, “More than 80% would drive deforestation due to new roads,  
32 transmission lines, or inundation.” WWF (2004) estimates that of the world's remaining 64 large  
33 free-flowing rivers at least 17 are in danger of being dammed by 2020, including several within  
34 primate habitat countries in South America and Southeast Asia.

35 Regardless of where the dam occurs, economic, social and environmental assessments are  
36 typically conducted prior to construction. Environmental Impact Assessments (EIA's) are  
37 perhaps the most common tool used to evaluate environmental effects of dam construction and  
38 reservoir flooding (Robinson, 1992). While their use is not required in all countries (Pack, 1996)  
39 and the timing of incorporating EIA studies has often been too late to influence dam construction  
40 and design decisions (McAllister *et al.*, 2001; Rodrigues, 2006), efforts to incorporate EIA  
41 findings into dam design and environmental impact mitigation planning are improving  
42 (Robinson, 1992; Tullos, 2008). Still, many countries that conduct pre-construction EIA studies  
43 fail to fully implement plans to minimize biodiversity losses (Schneider, 2001; Alho, 2011).

44 This chapter will highlight results of a literature review focusing on how primates are impacted  
45 by man-made dams. Particular attention will be given to a few well-documented case studies in  
46 South America and Southeast Asia. The impacts observed at these dam sites will not only

47 inform the discussion, they will also form the basis for a concluding suite of recommended future  
48 actions that could help minimize and mitigate adverse effects of dam construction and reservoir  
49 inundation on primates.

## 50 **Overview of Impacts**

51 The initial impact of damming on primates occurs well before the reservoir itself is flooded.  
52 Sites are cleared, roads are built, construction crews move in, animal translocations are  
53 sometimes undertaken, and the noisy, dusty business of dam-building begins. Collectively, these  
54 anthropogenic actions cause noise, air pollution, habitat loss and fragmentation, result in human  
55 population increases and relocations, and may either deplete wildlife (via hunting) or increase  
56 wildlife population densities (due to animals fleeing from the flood zone or being translocated:  
57 Woodford & Rossiter, 1993; Schneider, 2001; Rodrigues, 2006).

58 During flooding, more terrestrial and riverside habitats are lost. Once the dam is completed  
59 and the reservoir begins to fill, animals in the flood zone either escape to higher ground, are  
60 rescued, or perish. In most cases, it is unknown how many animals die as a result of reservoir  
61 flooding events (Rodrigues, 2006). Animals that survive and move into or are translocated to  
62 new habitats face challenges associated with unfamiliarity of surroundings, increased population  
63 densities, and competition for limited resources (Rodrigues, 2006). Rescue operations that  
64 sometimes take place are controversial for several reasons, but can also form the basis of  
65 important empirical studies (Schneider, 2001).

66 After flooding, when the total amount of habitat loss is realized and populations that may have  
67 temporarily faced high densities decline and return to pre-dam sizes, further impacts continue to  
68 occur (Benchimol & Peres, 2015a,b; Gibson *et al.*, 2013). Most of the lasting effects on the  
69 primate populations are due to habitat fragmentation. Whether caused by roads, new human  
70 settlements, or islands created within the flood zones themselves, habitat fragmentation can  
71 impact primate behavior, ranging patterns, diet, population densities and ultimately their ability  
72 to successfully reproduce.

73 The following sections will focus in on some of the most significant impacts of damming on  
74 primate populations including drowning, habitat loss, the influx of human populations, rescue  
75 operations, movement into adjacent habitats, and habitat fragmentation [Figure 1].

## 76 **Drowning**

77 Once a dam is built and the reservoir begins to fill, animals in the flood zone meet one of three  
78 fates: flee to higher ground, be rescued, or drown. The likelihood of survival is apt to be directly  
79 impacted by the depth of the reservoir, the speed at which it is filled, and the amount of prior  
80 vegetation clearing, especially for primates and other animals that can move across shallow  
81 bodies of water from tree to emergent tree. Whereas the sites of some reservoirs, such as the one  
82 at Na Hang, Vietnam have significant topographic relief (Lang, 2002), others like Balbina  
83 (Cabral, Mattos, & Rosas, 2008) and Samuel (de Sá, 2004; Fearnside, 2005) dams in Brazil are  
84 very shallow. Because the trees at the latter two sites did not fully submerge, the chance of  
85 surviving inundation would likely have been higher because primates had the ability to disperse  
86 into adjacent habitat (Granjon *et al.*, 1996).

87 In most cases, the loss of wildlife due to being trapped in a reservoir flood zone is unknown.  
88 The eerie sight of trees poking out of flooded reservoirs is common (Terborgh *et al.*, 1997); as  
89 are haunting stories of mammalian skeletons being found clinging to the tree-tops during the first  
90 dry season after flooding (Luis Balbás, 1996, personal communication to AHL). Kingston  
91 (1986) suspected that a significant portion of the estimated 100,000 primates that were stranded

92 in the Tucuruí flood zone in Brazil either drowned or starved to death on emergent trees. During  
93 rescue operations after flooding at Chiew Larn Dam in Thailand, 40 primates were found dead  
94 [152 were rescued alive] (Nakhasathien, 1989). Most of the primate deaths at Cheiw Larn were  
95 the result of starvation and drowning. Although the drowning is a heart-wrenching negative  
96 impact of damming, it may not be the most significant in the long term.

### 97 **Habitat Loss**

98 Authors agree that, in most cases, habitat loss is the most important negative impact of dam  
99 construction and reservoir inundation on primates (Alho, 2011; de Sá, 2004; Enari & Sakamaki-  
100 Enari, 2014; Gribel, 1993; Liao, Bhargava & Das, 1988; Vié, 1999). The amount of habitat  
101 remaining after flooding largely depends on the topography of the region [Figure 2]. Areas with  
102 significant topographic relief end up with small, deep lakes (Na Hang: Lang, 2002). Flood zones  
103 with intermediate topography result in hundreds or thousands of land-bridge islands dotted  
104 throughout the reservoir (Tucuruí: Bastos *et al.*, 2010; Guri: Terborgh *et al.*, 1997) and the  
105 outcome of inundation in the flattest regions is a flooded contiguous forest (Balbina: Fearnside,  
106 1989; Samuel: de Sá, 2004 and Fearnside, 2005). Even the partially submerged forests at  
107 Samuel, with protruding green treetops, however, died within a few years after flooding (de Sá,  
108 2004). While many riparian forest trees annually tolerate up to 10 months inundation and can  
109 even withstand two to three years of consecutive flooding, longer than this will kill them (dos  
110 Santos Junior *et al.*, 2013; Ferreira *et al.*, 2013).

111 While the area inundated is an important contributor to total habitat lost, it is not the only  
112 factor. Nearby habitats are often cleared near the dam site to build lodging for construction  
113 workers. Land is also cleared to meet the housing and agricultural needs of local people  
114 displaced by the reservoir but the additional habitat loss from these re-settlement activities is  
115 rarely estimated or included in EIA's (Moore, Dore & Gyawali, 2010; Tan & Yao, 2006).  
116 However, at Balbina Dam approximately 311km<sup>2</sup> of an indigenous peoples' reserve was in the  
117 flood zone, forcing one third of the surviving members of the tribe to relocate (Fearnside, 1989).

### 118 **Influx of Human Populations**

119 For some primate populations, impacts related to habitat loss and drowning are less important  
120 than those resulting from the large increase in human populations. In addition to resettled human  
121 populations and the influx of construction workers, roads are built to provide access to the dam  
122 site. These roads provide humans an entrée into regions that may have previously been quite  
123 remote (Boyle, 2008; WCD, 2000). One major consequence of access roads is increased traffic.  
124 An average of 360 trucks passed through Na Hang each day during the peak of dam construction  
125 in early 2003 (Martin, 2004) [Figure 3]. Inside those trucks are people who are likely to cause  
126 increases in both legal and illegal trade in wildlife and other forest products (Martin, 2004;  
127 Thach Mai Hoang, 2010; Wolters, 2004). Construction and large truck traffic also increase dust  
128 and silt in the atmosphere and affect the amount of silica layered on leaves which, in turn, may  
129 contribute to dental abrasion and primate mortality (Covert *et al.*, 2008).

130 The number of workers required for dam construction varies widely from site to site, but  
131 laborers typically number in the thousands at peak construction times. An estimated 7,000  
132 laborers immigrated into Na Hang, Vietnam, during dam construction – more than doubling the  
133 size of the local human population (Martin, 2004). Years after a dam is completed, many  
134 construction villages become permanent towns with ever-increasing human populations.

135 With these new pockets of human population it is not unusual to see increased hunting and  
136 extraction of timber and non-timber forest products. Both are thought to have led to substantial

137 impacts on wildlife, including primates (Boyle, 2008; Kingston, 1986; WCD, 2000). At Na  
138 Hang Dam, for example, hunting is thought to have been a significant contributing factor in the  
139 abrupt decline of the already critically endangered Tonkin snub-nosed monkey (*Rhinopithecus*  
140 *avunculus*) (Covert, Le Khac Quyet & Wright, 2008; Martin, 2004). There were 130 monkeys  
141 living at Na Hang before construction. In fact, this relatively large population was suspected to  
142 be the best hope for conservation of the species (Mittermeier *et al.*, 2009). However, surveys  
143 conducted just 13 years after dam construction estimate that only 40 monkeys remain at Na Hang  
144 (Thac Mai Hoang, 2010). Because the global population of *R. avunculus* was approximately 300  
145 individuals in 2006 (Mittermeier *et al.*, 2009), a loss of an approximately 90 individuals at Na  
146 Hang is devastating. An EIA conducted in Vietnam prior to the construction of the Na Hang  
147 Dam predicted this severe population decline (Scott Wilson Asia Pacific Ltd. [SWAPL], 2000),  
148 but as the dam was built, few of the recommended mitigation measures were implemented.  
149 While there is no direct evidence documenting dam-related hunting at Na Hang, such evidence  
150 does exist elsewhere. Forty primates were found dead during rescues at the Chiew Larn Dam in  
151 Thailand. Several had bullet wounds that were thought to have been the cause of death  
152 (Nakhasathien, 1989) – a clear demonstration that hunting was occurring during inundation.

### 153 **Rescue Operations**

154 At some dam sites, wildlife rescue operations are undertaken before, during and/or after  
155 flooding. The number of primates involved varies from hundreds to tens of thousands but for the  
156 most part, rescues are poorly documented (Fournier-Chambrillon *et al.*, 2000; Schneider, 2001).  
157 Five operations in the Southeast Asian and South American tropics kept careful records of the  
158 number of primates rescued: 152 were rescued alive at Chiew Larn in Thailand (Nakhasathien,  
159 1989), 225 at Petit Saut in French Guiana (de Thoisy *et al.*, 2001), 528 at Afobaka in Suriname,  
160 (Walsh, 1967), 1,352 at Samuel in Brazil (Fearnside, 2005), and 27,007 at Tucuruí in Brazil  
161 (Mascarenhas & Puerto, 1988; Peres & Johns, 1991). Most often, primates and other animals  
162 were captured and within hours released to adjacent habitat. At Afobaka (Suriname), for  
163 example, the goal was to maintain animals in captivity long enough to restore activity levels  
164 before releasing them into nearby forest (Walsh, 1967). However, at Samuel in Brazil, primates  
165 were sent to a nearby reserve (de Sa, 2004), and some primates rescued from Brazil's Balbina  
166 reservoir were transferred to captive colonies (Fearnside, 1989).

167 It is tempting to think of these rescues as success stories, but because little was known about  
168 population densities in areas that were flooded or pre-existing primate densities at most release  
169 sites, it is unknown what proportion of these individuals actually survived in the long term (de  
170 Sá, 2004; Peres & Johns, 1991). Based on estimates of primate population densities before  
171 inundation, what is known – at least at Tucuruí – is that larger-bodied primates (such as *Alouatta*,  
172 *Cebus* and *Sapajus*) were more likely to be recovered than smaller ones (such as *Mico*, *Saguinis*  
173 and *Saimiri*), while cryptic species (such as *Aotus*) were almost never rescued (Peres & Johns,  
174 1991). At Afobaka, 94% of monkeys rescued were howlers and although eight species inhabit  
175 the surrounding forests, only four (howlers, squirrel monkeys, tamarins and white-faced  
176 capuchins) were among those rescued. It is unclear why no sakis, brown capuchins or spider  
177 monkeys were rescued (Walsh, 1967).

178 Still, rescue operations allow researchers to collect and test biological samples, conduct health  
179 evaluations, and place tracking devices on primates (de Thoisy *et al.*, 2001; Peres & Johns,  
180 1991). They have therefore formed the basis of some interesting scientific studies. Perhaps one  
181 of the best-studied dam sites with respect to its impact on primates is the Petit Saut Dam in  
182 French Guiana. A total of 124 howlers (*Alouatta*), six sakis (*Pithecia*), and 95 tamarins

183 (*Saguinus*) were rescued and each received health evaluations. All primates captured at Petit  
184 Saut during flooding were found to be in good condition. The rescue operation continued post-  
185 flooding, however, and one year after flooding began, eleven rescued howlers showed signs of  
186 severe nutritional stress (de Thoisy *et al.*, 2001).

187 Some prior rescue operations, such as the one undertaken at Tucuruí, are thought to have been  
188 linked more to public relations than wildlife conservation (Alho, 2011; Fearnside, 2001). It is  
189 pleasing to hear that a rescue operation is saving hundreds or thousands of animals, but if not  
190 sent to a captive rescue center (Kingston, 1986), and not subject to a follow-up field study, then  
191 the fate of relocated animals remains unknown (Rodrigues, 2006), and many suspect that most  
192 released primates ultimately perish. Some animals likely die due to unfamiliarity of the habitat  
193 or competition with conspecifics already inhabiting release sites (Alho, 2011; de Sá, 2004;  
194 Griffith *et al.*, 1989; Kingston, 1986). At Tucuruí, reserves created as release sites were  
195 immediately invaded by loggers and hunters (Fearnside, 2001). In addition, increase in local  
196 population densities may increase disease transmission (IUCN, 1987; Woodford & Rossiter,  
197 1993; Magnusson, 1995). However, if rescues and releases are carefully planned, they can  
198 contribute significantly to our understanding of the impact of damming on primate populations  
199 (de Sá, 2004; de Thoisy, *et al.*, 2001; Peres & Johns, 1991).

## 200 **Moving Into Adjacent Habitat**

201 Regardless of whether primates move into new habitat as a result of land clearing,  
202 construction noise (Martin, 2004), increased hunting pressure due to the presence of new access  
203 roads (Alho, 2011; Martin, 2004), flooding (Alvarez, 1986; de Sá, 2004), or they are rescued and  
204 translocated, primates face myriad challenges. They may encounter overcrowded habitats and  
205 competition with conspecifics or they may be at a disadvantage due to habitat unfamiliarity  
206 (Fischer & Lindenmayer, 2000; Schneider, 2001; Rodrigues 2006).

207 When a primate is not familiar with its surroundings, it may have difficulty finding food,  
208 water, shelter, and mates, and it may be at a higher risk of disease and predation. Research from  
209 an island in Venezuela's Guri Reservoir suggests that primates rely heavily on memory to find  
210 resources (Cunningham & Janson, 2007). At Chiew Larn (Nakhasathien, 1989), Petit Saut (de  
211 Thoisy, *et al.*, 2001) and Balbina [Figure 4] primates were found malnourished, injured, infected  
212 with parasites, and otherwise stressed during post-inundation rescue operations, much of which  
213 is hypothesized to have been a consequence of habitat unfamiliarity.

214 Primate mortality due to habitat loss, overcrowding and habitat unfamiliarity were thought to  
215 have been more significant than drowning at the Samuel Dam (de Sá, 2004). In 2004, de Sá  
216 found that *Callicebus*, *Pithecia* and *Samiri* species were among the most frequently captured  
217 during rescue operations at the Samuel Dam in Brazil. A temporary increase in population  
218 density for these three primates was observed in adjacent habitat just after release, but  
219 populations decreased in subsequent years, probably due to dispersion or mortality. However, at  
220 Santo Antonio Dam in Brazil, telemetry tracking indicated that only 7% of translocated pygmy  
221 marmosets (*Cebuella pygmaea*) three months post-release (Dias *et al.*, 2015).

222 Tracking rescued, released primates has become more common in recent years. While most  
223 published post-release studies are short-term (and considering many dam-linked projects are  
224 consultancies; therefore, biologists may not be given permission to disseminate outcomes when  
225 translocations fail) some promising results have been published. Although a 1997 study linked  
226 to Brazil's Novo Ponte Dam attempted to radio-track 15 translocated *Callicebus personatus*,  
227 authors were unable to follow the animals due to technical difficulties (Neri *et al.*, 1997). Since  
228 that time, however, several teams have successfully followed primates post-translocation. For

229 example, in Belize, a group of translocated Central American black howlers (*Alouatta pigra*)  
230 survived at least one year and established territory (Ostro *et al.*, 2000). Marques *et al.* (2011)  
231 used radio-telemetry to follow black-tailed marmosets (*Mico melanura*) translocated as part of a  
232 study of wildlife affected by flooding the Manso River reservoir in western Brazil. Of the five  
233 animals monitored, two pairs survived at least 8 months, successfully established territories, and  
234 appeared healthy. And in northern Brazil, during the formation of the reservoir on the Madeira  
235 River created by the Santo Antônio Hydroelectric Dam, two groups of pygmy marmoset  
236 (*Cebuella pygmaea*) were translocated into near-by protected, open tropical rainforest. A three-  
237 month post-release monitoring study with radio-telemetry found group members remained  
238 together and settled in stable home ranges near their release sites (Dias *et al.*, 2015).

239 Similarly, some of the primates rescued at Petit Saut were fitted with telemetry devices. Six  
240 sakis and 14 howlers were tracked for an average of about one year after translocation (Richard-  
241 Hansen *et al.*, 2000; Vié, Richard-Hansen & Fournier-Chambrillon, 2001). Sakis and howlers  
242 established home ranges within one year and both were also observed integrating with resident  
243 groups. Mortality rates were difficult to discern because telemetry collars contributed to  
244 individual deaths (mainly due to screw worm larvae infections). However, Vié and colleagues  
245 (2001) and Richard-Hansen *et al.* (2000) indicated that sakis and howlers may both benefit from  
246 future, well-planned translocation efforts. So although forced movement into new habitat may  
247 ultimately lead to primate deaths, this evidence supports the idea that translocations can serve to  
248 rescue a large number of primates, as long as translocations are well-planned (Konstant &  
249 Mittermeier, 1982; Fischer & Lindenmayer, 2000).

250 None of the studies listed above were of sufficient duration to establish if the translocations had  
251 long-term success. However, some translocated animals do appear to survive and reproduce.  
252 Oklander *et al.* (in press) found that populations of southern black howlers (*Alouatta caraya*)  
253 showed strong regional genetic structuring across the Argentinian and Paraguayan part of their  
254 range. An exception to the pattern was the population in the Chaco National Park, which  
255 contained genetic elements from a population 380 km away from the Yaciretá Dam. During dam  
256 construction here, many primates were removed from areas to be inundated and transported to  
257 other sites, including the park. Similar evidence for regional differences in genetic variability  
258 around the Tucuruí Dam will be discussed in the following section.

## 259 **Habitat Fragmentation**

260 Perhaps the most significant long-term impact of dam construction and reservoir inundation on  
261 primates is habitat fragmentation. In the case of damming, habitat fragmentation occurs  
262 primarily in two ways: 1) the construction of access roads that bisect habitats, and 2) via  
263 flooding, which often results in a reservoir strewn with numerous islands. Roads are widespread  
264 anthropogenic contributors to habitat fragmentation around the world. Many of the primates  
265 studied in the investigations reviewed here appeared to treat roads as barriers and avoided  
266 crossing them (Richard-Hansen *et al.*, 2000). And the reservoirs themselves – especially those  
267 with intermediate topographic relief – may contain hundreds or thousands of islands once  
268 flooding is completed. The islands that remain are true fragments, with the unforgiving  
269 surrounding matrix of water (Anderson *et al.*, 2007). Consequently, fragmentation can lead to  
270 several impacts on primate populations including the challenges of swimming from one fragment  
271 to another, changes in food availability, demographics, travel patterns, and rates of social  
272 interaction, as well as genetic diversity, and ultimately, faunal collapse.

273 Most monkeys can swim quite well (e.g. Berman, 1977; Anderson, Peignot & Adelbrecht,  
274 1992; Chaves & Stoner 2010; Gonzalez-Socoloske, & Snarr 2010; Peck *et al.*, 2014) but

275 swimming also comes with some caveats. Predation of swimming monkeys may be high: during  
276 the filling of the Balbina reservoir, Barnett (unpublished data) saw caiman and jaguars take  
277 swimming howlers and eagles swoop at animals as they climbed out onto trees. Harrison-Levine,  
278 Norconk & Cunningham (2003) reported predator-sensitive behaviors, such as increased  
279 vigilance, nervousness and guarding, in sakis drinking at Guri Reservoir's edge when other water  
280 sources had gone dry. In addition, dam-promoted inundation generally disrupts phenology even  
281 when areas are not directly flooded (as on hilltop islands: Kozłowski 2002; Maingi & Marsh,  
282 2002; Stave *et al.*, 2005; Ferreira *et al.*, 2013), so although most monkeys can swim, the  
283 uncertainty of resource availability on the destination island may be just as psychologically and  
284 physiologically stressful as the risk of predation.

285 When a previously contiguous forest is transformed into islands surrounded by water, the sun,  
286 the wind and water erosion can impact the islands' edges. This phenomenon, referred to as the  
287 edge effect, can in turn influence forest structure and lead to further reduction in utilizable  
288 primate habitat (Laurance *et al.*, 1998; Ferreira *et al.*, 2012). The edge effect has more  
289 significant impact on water-surrounded islands than on mainland habitats surrounded by a matrix  
290 such as pasture, which can act as a better buffer than water (Anderson *et al.*, 2007; Norconk &  
291 Grafton, 2003). Edge-related fire damage was an important factor in small islands at Balbina  
292 (Benchimol & Peres, 2015a). Changes in primate travel patterns, diet and food availability may  
293 result (Cosson *et al.*, 1999; Ferreira *et al.*, 2012; Norconk, 2007; Norconk & Grafton, 2003).

294 Fragmented habitats are often completely isolated from one another and this can have an effect  
295 on primate behavior and ranging patterns, as well as cause devastating long-term impacts on the  
296 genetic and reproductive viability of primate populations. Years after flooding at Tucuruí, Silva  
297 and Ferrari (2009) returned to the dam to compare the behavior of island and mainland groups of  
298 bearded sakis (*Chiropotes*). They found that island individuals rest more and travel less than  
299 those living on the mainland, and island populations also have lower levels of social interaction.  
300 These behavior patterns are attributed to comparatively small home range size and small group  
301 size (respectively) on islands, both of which were thought to be the result of isolation.

302 When primate populations are forced to mountaintops as a reservoir floods, groups remaining  
303 on each island may exhibit unusual demographic patterns – including single-sex groups that are  
304 unable to breed. At the Guri Dam, post-flooding surveys indicated that at least a few islands  
305 contained non-breeding populations of white-faced sakis, *Pithecia pithecia* (Norconk, 1997).  
306 Other islands had populations with juveniles that may not be able to disperse from their natal  
307 group, as is typical for the species. A population that lacks the ability to disperse will likely face  
308 some genetic viability ramifications. Indeed, Norconk and Grafton (2003) reported that, over a  
309 ten year period, the sakis on one Guri Reservoir island had not had a single surviving infant.

310 In 2008, Gonçalves and colleagues reported on a study comparing genetic data collected during  
311 the rescue operation at Tucuruí with that collected 15 years later. These authors found that while  
312 the genetic diversity of mainland howlers had increased, possibly due to the influx of genetic  
313 material from translocated or fleeing howlers, the genetic diversity of howlers on at least one  
314 Tucuruí reservoir island was lower than that of mainland howlers. This is additional evidence to  
315 support post-flooding genetic viability concerns for island populations.

316 Land-bridge islands, or landscape fragments that have been isolated by rising water levels with  
317 an associated reduction in habitat area (Diamond, 1972; Terborgh *et al.*, 1997), are thought to  
318 experience a sort of ecological meltdown (Terborgh *et al.*, 2001). Terborgh and other authors  
319 (Benchimol & Venticinque, 2014; Cosson *et al.*, 1999; Wu *et al.*, 2004) found that while the  
320 population density of some primate species decreases or the primates disappear entirely on

321 smaller islands, the density of other species increases. This decreases primate diversity on the  
322 islands compared to the mainland (Cosson *et al.*, 1999; Terborgh *et al.*, 1997). Similarly, at  
323 Balbina Dam, Benchimol and Venticinque (2014) determined that 60% of primate biodiversity  
324 was retained on islands larger than 100 hectares. In addition to size, structural complexity of  
325 land-bridge islands is also closely related to primate biodiversity at Balbina. Interestingly, these  
326 authors note that larger primates were more likely to be found on islands than small ones,  
327 possibly due to their ability to more easily swim from island to island. It is also observed by  
328 many of these authors that – especially in the absence of top predators – species that exhibit  
329 more behavioral and dietary flexibility (such as howler monkeys, capuchin monkeys and some  
330 marmosets), can persist longer on island fragments. While habitat fragmentation resulting from  
331 anthropogenic damming may have an overall negative impact on less flexible, smaller primate  
332 species, more flexible, larger primates do not seem to experience the same types of impact.

### 333 **Conclusion**

334 Dam construction and reservoir inundation have devastating effects on habitats and primate  
335 populations. Drowning, habitat loss, increased human populations and associated increases in  
336 primate hunting, movement into already-occupied habitats, and habitat fragmentation are among  
337 the most significant impacts, but the degree to which each of these affects primate populations  
338 varies from site to site. Ultimately it is habitat loss, the influx of large human populations and  
339 habitat fragmentation that result in the most significant dam-related impacts on primates.

340 In addition, while rescue operations may seem to be a humane alternative to drowning or  
341 starvation, and could also offer tremendous opportunities for embarking on studies of post-  
342 flooding and post-translocation primate populations, they must only be undertaken under specific  
343 circumstances. Those conducting rescues should identify well-researched and appropriate  
344 release sites that exhibit comparatively low population density of the species to be relocated.  
345 Release sites must be shown to have sufficient resources and minimal anthropogenic threats,  
346 such as hunting. The rescue operations themselves should be studied, monitored long-term, and  
347 both successes and failures reported (papers like that of Neri *et al.*, 1997 being rare)

348 Going forward, primary aims should be to engage governments in not only permitting but  
349 requiring empirical studies as essential components of the dam planning process, and to work  
350 with funding institutions to hold back loans unless scientifically-based plans to mitigate  
351 implications of dam construction are developed and implemented. WCD (2000) considers that  
352 the five main limitations to effective implementation of Environmental Impact Assessment (EIA)  
353 process are: 1) resistant attitudes, 2) insufficient structural integration of EIA recommendations  
354 into policy and decision-making, 3) insufficient scope of EIAs, 4) inadequate procedural  
355 assessments, and 5) poor technical quality of EIAs. Therefore, it is also important for  
356 researchers to advocate for the incorporation of scientific findings early in the dam planning  
357 processes; this information is critical to consider when decisions on dam building are made, as  
358 well as during dam site selection and dam design. As a high-profile group that are comparatively  
359 easily studied, primates can play a key role in dam-based EIAs. Therefore, scientists should  
360 gather pre-construction baseline data on primates so that post-construction and post-inundation  
361 comparisons are possible (McCartney, Sullivan & Acreman 2001). Similarly, comparative  
362 studies regarding island and mainland populations are also needed. All primate populations  
363 affected by dam construction and reservoir inundation should be part of long-term monitoring  
364 programs (McAllister *et al.*, 2001) that investigate longitudinal impacts on issues such as  
365 behavior, ranging patterns, diet, health status, and genetics.

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368 **Bibliography**

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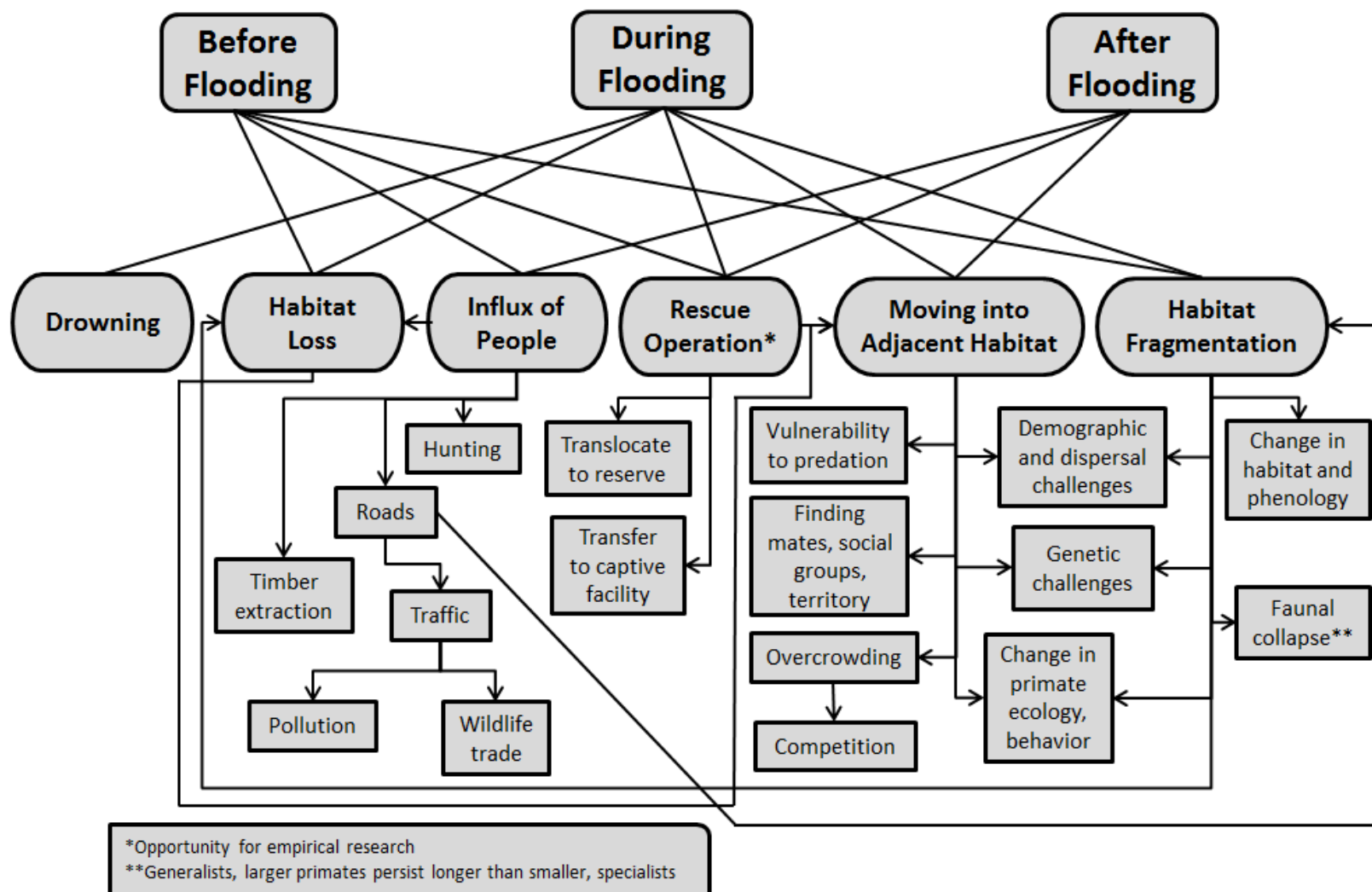
**Table 1.** Dams, Reservoirs and Rescues

<i>Name of Dam</i>	<i>Year Reservoir Filled</i>	<i>Dam Location</i>	<i>Estimated Reservoir Area (km<sup>2</sup>)</i>	<i>Mean Reservoir Depth (m)</i>	<i>Reservoir Volume (km<sup>3</sup>)</i>	<i>Number of Islands</i>	<i>Number of Rescued Primates</i>	<i>Rescue Timing</i>
<b>SOUTH AMERICA</b>								
Afobaka	1964	Suriname	1,683 <sup>a</sup>	-	-	-	528 <sup>a</sup>	during flooding <sup>a</sup>
Balbina*	1987	Brazil	2,360 <sup>b</sup> 4,437 <sup>c</sup> 2,996 <sup>e</sup>	7.4 <sup>b</sup> 4.8 <sup>d</sup>	17.5 <sup>c</sup>	1,500 <sup>b</sup> 3,299 <sup>c</sup>	-	during flooding <sup>b</sup>
Guri	1986	Venezuela	4,240 <sup>f</sup>	[<50] <sup>g</sup>	135 <sup>f</sup>	>100 <sup>f</sup>	-	after first phase of flooding <sup>h</sup>
Petit Saut	1995	French Guiana	365 <sup>i</sup>	35 <sup>i</sup>	-	>200 <sup>j</sup>	225 <sup>i</sup>	during flooding <sup>i</sup>
Samuel	1988	Brazil	540 <sup>d</sup>	8.4 <sup>d</sup>	-	-	1,352 <sup>k</sup>	during flooding <sup>d</sup>
Tucuruí	1984	Brazil	2,430 <sup>l</sup>	20.2 <sup>d</sup>	45.5 <sup>m</sup>	>1,600 <sup>n</sup>	27,007 <sup>l</sup>	Before <sup>n</sup> & during <sup>o</sup> flooding
<b>SOUTHEAST ASIA</b>								
Chiew Larn	1986	Thailand	165 <sup>p</sup>	-	-	241 <sup>p</sup>	152 <sup>p</sup>	during flooding <sup>p</sup>
Na Hang	2002	Vietnam	57 <sup>q</sup>	-	2.2 <sup>r</sup>	-	-	-

\* Balbina Dam reservoir area estimates and estimated number of islands are highly debated.

<sup>a</sup>Price (2011); <sup>b</sup>Fearnside (1989); <sup>c</sup>Cabral *et al.* (2008); <sup>d</sup>Fearnside (2005); <sup>e</sup>Feitosa *et al.* (2007); <sup>f</sup>Alvarez *et al.* (1986); <sup>g</sup>Terborgh (1997); <sup>h</sup>Konstant & Mittermeier (1982); <sup>i</sup>de Thoisy *et al.* (2001); <sup>j</sup>Cosson *et al.* (1999); <sup>k</sup>Gribel (1993); <sup>l</sup>Fearnside (2001, 2006); <sup>m</sup>Fearnside (2002); <sup>n</sup>Bastos *et al.* (2010); <sup>o</sup>Ferrari *et al.* (2004); <sup>p</sup>Nakhasathien (1989); <sup>q</sup>Lang (2002); <sup>r</sup>Mahabir (2008).

**Figure 1.** Impacts of Damming on Primate Populations



**Figure 2.** Image of Balbina Dam Reservoir in Brazil (low topographic relief)



**Figure 3.** Image of Roads and Construction at Na Hang Dam, Vietnam (note the silt in the air)



Figure 4. Image of Bot-Fly Infested, Deceased Howler Monkey (*Alouatta belzebul*) at Tucuruí Dam

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